Deracemization and Related Processes

Kevin Wu 13 January 2019 Yu Group

Selective reaction with a prochiral, planar precursor is a common strategy in asymmetric catalysis:

Noyori, R.; Ohkuma, T. *Angew. Chem. Int. Ed.* **2001**, *40*, 40. Sharpless, K. B.; et al. *J. Org. Chem.* **1992**, *57*, 2768. List, B.; Lerner, R. A; Barbas, C. F. *J. Am. Chem. Soc.* **2000**, *122*, 2395.

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o(k)	$\Delta\Delta G^{\ddagger}$	Conversion (%) to achieve SM at:		
S (K _{rel})	(kcal/mol)	90% ee	98% ee	>99% ee
1.5	0.24	99.9	99.99	>99.999
2	0.41	97.2	99.5	>99.7
5	0.95	74.8	84.0	>86.6
10	1.35	62.1	69.7	>72.1
100	2.72	48.9	51.8	>52.4
500	3.66	47.7	50.0	>50.3

Kinetic resolution and dynamic kinetic resolutions also have been well-established:



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In an ideal kinetic resolution (s is high) 50% of (S) will remain at 100% ee

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Hayashi, T.; Kumada, M.; et al. J. Am. Chem. Soc. 1982, 104, 180.
Cherney, A. H.; Kadunce, N.T.; Reisman, S. E. Chem. Rev. 2015, 115, 9587.
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rapid isomerization of diastereomeric η^3 complexes

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Early examples typically used the oxidation/reduction cycling of alcohols or amines:



Turner, N. J.; et al. *Angew. Chem. Int. Ed.* **2002**, *41*, 3177. Kroutil, W.; Faber, K. *Tetrahedron: Asymmetry* **1998**, *9*, 2901.

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- The positive ΔG of deracemization immediately shows these ideas are impossible
- Yet these proposals remained common
- To understand why such proposals are infeasible, the principle of microscopic reversibility must be considered

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Thus the reverse reaction of a catalytic step must proceed via the catalytic path:



The lowest path backward must always be identical to the lowest path forward




When considered from this perspective, the issue with closed system recycling is clear:



Attempts to recycle a catalytic reaction back by its uncatalyzed route clearly breaks the rules"



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The energetics that favor the catalytic conversion of the undesired (R)-enantiomer also will favor its re-formation from the intermediate (if k_R is large, then k_{Rb} must also be)

The closed system will inexorably proceed towards equilibrium (racemization)

Deracemization: Overcoming the Limitations of Closed Systems

To overcome the limitation of a closed systems, a source of chemical or light energy is needed

$$\mathbb{R}$$
+ \mathbb{S} $\mathbb{Catalyst?}$ \mathbb{S} + \mathbb{S} $\Delta G = +0.42$ kcal/molracemic starting materials \mathbb{A} \mathbb{A}

Knowles, R. R.; et al. *Science* **2019**, *366*, 364. Blackmond, D. G. *Angew. Chem. Int. Ed.* **2009**, *48*, 2648.

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The principle of microscopic reversibility prohibits a single catalytic step from deracemizing a reaction

Two or more steps proceeding through distinct mechanisms are necessary

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- Two or more steps proceeding through distinct mechanisms are necessary
 - Chemical compatibility of reagents can be a challenge, especially in redox cycling
 - Temporal separation is one possible solution (stepwise reactions)
 - Photochemical methods would be ideal, but few candidate systems exist

Catalytic enantioselective reduction of imines with chiral phosphoric acids is well known:

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The Toste group found CPAs can facilitate phase-transfer oxidation of indolines, with low selectivity



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However, no deuterium erosion (no reaction) was observed when the two cycles were combined:



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Therefore, a three-phase strategy (solid, organic, and aqueous) was devised:



Evaluation of several solvent mixtures as well as Hantzsch esters variants showed promise:



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entry	Hantzsch Ester	solvent	additive	H incorp (%)	ee	_
1	1a	PhMe	-	0	0	
2	1a	1:1 PhMe/H ₂ O	-	5	4	
3	1a	9:1:10 Hex/Et ₂ O/H ₂ O	-	68	67	
4	1a	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	84	81	Me ^r N Me H
5	1b	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	56	56	1a: R = Et 1b: R = Bn 1c: R = 4-CIBn

Lackner, A. D.; Samant; A. V.; Toste, F. D. J. Am Chem Soc. 2013, 135, 14090.

1d: R = 2,6-Cl₂Bn

Evaluation of several solvent mixtures as well as Hantzsch esters variants showed promise:



entry	Hantzsch Ester	solvent	additive	H incorp (%)	ee	_
1	1a	PhMe	-	0	0	-
2	1a	1:1 PhMe/H ₂ O	-	5	4	$RO_2C \xrightarrow{H} H CO_2R$
3	1a	9:1:10 Hex/Et ₂ O/H ₂ O	-	68	67	
4	1a	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	84	81	Me ^r N Me H
5	1b	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	56	56	1a: R = Et
6	1c	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	97	92	1b: R = Bn
7	1d (1.5 eq)	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	96	92	1c: R = 4-CIBn 1d: R = 2,6-Cl ₂ Bn

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entry	Hantzsch Ester	solvent	additive	H incorp (%)	ee	
1	1a	PhMe	-	0	0	$RO_{2}C \xrightarrow{H} H CO_{2}R$ $Me \xrightarrow{N} H Me$
2	1a	1:1 PhMe/H ₂ O	-	5	4	
3	1a	9:1:10 Hex/Et ₂ O/H ₂ O	-	68	67	
4	1a	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	84	81	
5	1 b	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	56	56	1a: R = Et
6	1c	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	97	92	1b: R = Bn
7	1d (1.5 eq)	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	96	92	1c: R = 4-CIBn
8	1d (1.5 eq)	9:1:10 Hex/Et ₂ O/H ₂ O	HCI	98	94	Ta: $R = 2,6-Cl_2Bh$

The racemization of axially chiral allenes via a triplet state is well known:

Rodriguez, O.; Morrison, H. *Chem. Comm. D.* **1971**, *373*, 679. Bach, T.; et al. *Nature* **2018**, *564*, 240.

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■ In the presence of a chiral sensitizer, this process could be used to drive **deracemization**



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Light (the source of energy) and a chiral catalyst selectively form a high energy intermediate that non-selectively recycles to the racemic substrate:



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Allene Deracemization: Reaction Design

The concept of hydrogen-bonding chiral recognition could be used to selectively sensitize allenes



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Allene Deracemization: Reaction Design and Implementation

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Irradiating either enantiomer with catalyst results in rapid formation of the preferred enantiomer:



Allene Deracemization: Substrate Scope

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Deracemization of Ureas via Excited-State Electron Transfer

Knowles observed unexpected product enantioenrichment under PCET hydroamination conditions



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Miller, D. C.; Choi, G. J.; Orbe, H. S.; Knowles, R. R. J. Am. Chem. Soc. 2015, 137, 13492. Knowles, R. R.; et al. Science 2019, 366, 364.

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The potential for enantioselective HAT to regenerate the substrate was also recognized :



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Use of a chiral phosphate and chiral thiol together gave the highest selectivity





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- Light is a particularly promising energy source to drive selective formation of a single enantiomer
- Considerable limitations remain with regards to substrate scope and synthetic utility
- However, further study of system and catalyst design may result in more practical systems:

